IAP12 Rec'd PCT/PTO 0 7 SEP 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.: Not Yet Assigned Confirmation No. Not Yet Assigned

Applicants : Ulrich KNOTT, et al.
Filed : September 7, 2006
TC/A.U. : Not Yet Assigned
Examiner : Not Yet Assigned

Docket No. : 011235.58188US

Customer No. : 23911

Title: : METHOD AND APPARATUS FOR ROTARY FRICTION

WELDING

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. Time Period of Submission

1)

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This Information Disclosure Statement is submitted:

	ng date of the first Office Action on the merits (whichever is irst Office Action after the filing of a Request for Continued
	erefore no statement under 37 C.F.R. § 1.97(e) or fee under
date and the mailing Final Office Action,	fter the later of three months from the application's filing g date of the first Office Action on the merits, but before a a Notice of Allowance, or an action closing prosecution (Exichever is earlier), and therefore Applicant is filing h:
Па	Statement under 37 C.F.R. § 1.97(e); or
a	fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

no later than three months from the application's filing date

Docket No: 011235.58188US Page 1 of 5 RLG/mns

3) after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith:					
a Statement under 37 C.F.R. § 1.97(e); and					
a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).					
4) within 30 days of receipt by any individual designated in 37 C.F.R. § 1.56(c) of a communication from a foreign patent office in a counterpart application in which each item of information contained in this information disclosure statement was first cited, and therefore Applicant is submitting herewith:					
a Statement under 37 C.F.R. § 1.704(d).					
II. Statement Under 37 C.F.R. § 1.97(e)					
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or					
I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or					
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.					
III. Submission of Non-English Language Documents					
☐ The following is a concise explanation of relevance of the non-English language documents listed in the attached Form PTO-1449:					
The relevance of document(s)to the subject matter of the present invention is/are provided in the specification of the above-identified application.					
Corresponding PCT Search Report citing references listed on Form 1449 together with an English-language version(s) (if not already in					

Docket No: 011235.58188US Page 2 of 5 RLG/mns

English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted.						
English language family member publication(s) of document(s) is/are noted on Form PTO-1449.						
English language abstract(s) is/are submitted for document(s) as noted on the Form 1449.						
English translation(s) of the foreign language document(s) is/are submitted herewith.						
Applicant submits the following explanations:						
IV. Continuations/Divisionals/PCT National Stage Applications Documents were of record in parent application Serial No, filed, from which this application claims benefit. As						
provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously submitted to or cited by the United States Patent and Trademark Office in the afore-mentioned parent application.						
Copies of document(s) listed on the attached form PTO-1449 have already been provided by the International Searching Authority, therefore, duplicate copies are not attached hereto.						
The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent						

reference against the claims of the present application.

Docket No: 011235.58188US Page 3 of 5 RLG/mns

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IAP12 Rec'd PCT/PTO 0 7 SEP 2006

If necessary, this paper should be considered as an authorization to charge Deposit Account 05-1323, Attorney Docket No.: 011235.58188US, for the fee set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

CROWELL & MORING LLP

Dated: September 7, 2006

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Attachments

Intellectual Property Group P.O. Box 14300 Washington, D.C. 20044-4300

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	Not Yet Assigned	
			URE	Filing Date	09/07/2006	
			ANT	First Named Inventor	Ulrich KNOTT	
				Art Unit	Not Yet Assigned	
(use as many sheets as necessary)			<u> </u>	Examiner Name	Not Yet Assigned	
Sheet	5	of	5	Attorney Docket Number	011235.58188US	

U.S. PATENT DOCUMENTS					
Examiner Initials'	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
					Figures Appear
		US-3,541,669	11-24-1970	R.W. Yocum	
		US-5,858,142	01-12-1999	Tully et al.	
		US-2002/0136659	09-26-2002	Staubli et al.	
		US-			
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			FOREIGN PATI	ENT DOCUMENTS		
Examiner Initials'	Cite No. ¹	Foreign Patent Document Country Code ³ –Number ⁴ –Kind Code ⁹ (<i>if known</i>)	Publication Date MM-DD-	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passage s or Relevant Figures Appear	T [€]
		GB 1 382 234	01-29-1975	Mitsubishi Jidosha Kogyo		
		JP 01 107986	04-25-1989	Honda Motor Co Ltd.		abstract
		JP 55 133890	10-18-1980	Nippon Steel Corp.		abstract
		DE 20 42 084	03-02-1972	E. Prelle et al.		abstract
			OTHER D	OCUMENTS		

Examiner		Date	
Signature	<u>-</u>	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and